

## Regulatory and Other Committee

### Open Report on behalf of Pete Moore, Executive Director for Finance and Public Protection

Report to:	<b>Audit Committee</b>
Date:	<b>26 September 2016</b>
Subject:	<b>Work Plan</b>

#### Summary:

This report provides the Committee with information relevant to the core assurance activities currently scheduled for the 2016/17 work plan.

#### Recommendation(s):

1. Review and amend the Audit Committee's work plan ensuring it contains the assurances necessary to approve the Annual Governance Statement 2017.
2. Review the outstanding actions designed to improve the effectiveness of the Committee.

#### Background

- 1 The Audit Committee's work plan has been developed by the Chairman and Vice Chairman of the Committee, having considered:
  - the terms of reference
  - best practice
  - information and knowledge on the Council's governance, risk and control environment – particularly areas of relevance for the Annual Governance Statement - 2017
  -(see Appendix A – work plan to March 2017).
- 2 There is an Audit Committee Forum Meeting planned for the 11<sup>th</sup> October 2016 at North Kesteven District Council. The Agenda includes:
  - Update on latest Governance & Audit issues
  - Information sharing:
    - Agenda topics and ways of working
    - Hot Topics
  - Risk Management – the basics and hot topics
  - Partnership risks and assurance

3 Appendix B shows the Committee’s action plan – which helps keep track of actions agreed during meetings

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#### **Conclusion**

The work plan helps the Committee ensure that the Committee effectively delivers its terms of reference and keep track of areas where it requires further work and/or assurance.

#### **Consultation**

##### **a) Policy Proofing Actions Required**

n/a

#### **Appendices**

These are listed below and attached at the back of the report	
Appendix A	Work Plan to March 2017
Appendix B	Audit Committee Action Plan 2016/17

#### **Background Papers**

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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